



EXPRESS MAIL NO.: EL 477 037 555 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert J. Hariri

Application No.: 10/076,180

Art Unit: 1642

Filed: February 13, 2002

Examiner: to be assigned

For: POST-PARTUM MAMMALIAN  
PLACENTA, ITS USE AND PLACENTAL  
STEM CELLS THEREFROM

Attorney Docket No.: 009516-0050-999

**SUBMISSION OF FORMAL DRAWINGS**

Official Draftsperson  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed March 14, 2002, in connection with the above-identified application, Applicant submits herewith formal drawings to be substituted for the informal figures previously submitted in the above-identified application. The figures have been changed as required in the Notice. Applicant submits an Amendment Under 37 C.F.R. § 1.115 concurrently with this Submission.

The formal drawings consist eight (8) sheets of formal drawings, consisting of FIG. 1, FIGS. 2A-E and FIGS. 3-4. Applicant respectfully requests entry of the enclosed drawings in the file of the above-identified application.

No fee is believed to be due for the submission of these drawings. Should any fees be required, however, please charge such fees to Pennie & Edmonds LLP deposit account no. 16-1150.

Respectfully submitted,

Date: July 15, 2002

Anthony M. Insogna 35,203  
Anthony M. Insogna (Reg. No.)

By:

Jacqueline Benn 43,496  
Jacqueline Benn (Reg. No.)

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
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(212) 790-9090

Enclosures

# 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,180	02/13/2002	Robert J. Hariri	009516-0050-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711



CONFIRMATION NO. 9742

## FORMALITIES LETTER



\*OC000000007633370\*

Date Mailed: 03/14/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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09-17-02

0300 \$ #3

Express Mail No.: EL 477 037 555 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert J. Hariri

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For: POST-PARTUM MAMMALIAN  
PLACENTA, ITS USE AND  
PLACENTAL STEM CELLS  
THEREFROM

Attorney Docket No.: 009516-0050-999

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers ("Notice")  
mailed March 14, 2002, in connection with the above-identified application, Applicant  
submits herewith:

- (1) a return copy of the Notice;
- (2) a Submission of Formal Drawings with eight (8) sheets of formal drawings,  
consisting of FIG. 1, FIGS. 2A-E and FIGS. 3-4; and
- (3) a Petition For Extension of Time Under 37 CFR § 1.136(a) for a period of two  
(2) months from May 14, 2002 to and including July 14, 2002.

Applicant is concurrently filing herewith the following documents:

- (4) a Preliminary Amendment Under 37 C.F.R. § 1.115; and
- (5) a Preliminary Amendment Fee Transmittal Sheet.

It is estimated that no fee is required for filing this response. In the event that a fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: July 15, 2002

Anthony M. Insogna 35,203  
Anthony M. Insogna (Reg. No.)

By:

Jacqueline Benn 43,496  
Jacqueline Benn (Reg. No.)

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Express Mail No.: EL 477 037 555 US #3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert J. Hariri

Application No.: 10/076,180

Art Unit: 1642

Filed: February 13, 2002

Examiner: to be assigned

For: POST-PARTUM MAMMALIAN  
PLACENTA, ITS USE AND  
PLACENTAL STEM CELLS  
THEREFROM

Attorney Docket No.: 009516-0050-999

**FEE TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying Preliminary Amendment Under 37 C.F.R. § 1.115 of even date herewith concerning the above-identified application has been estimated to be **\$00.00**. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date: July 15, 2002

Anthony M. Insogna 35,203  
Anthony M. Insogna (Reg. No.)

By:

Jacqueline Benn 43,496  
Jacqueline Benn (Reg. No.)

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1155 Avenue of the Americas  
New York, New York 10036-2711  
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Enclosure

**APPENDIX A**  
**Marked Up Version of Replacement Paragraph of the Specification**  
**U.S. Patent Application Serial No. 10/076,180**

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Matter that has been deleted from the paragraph is indicated by brackets and matter that has been added to the paragraph is indicated by underlining.

This application is a continuation-in-part of pending applications No. [01]10/004,942, filed December 5, 2001 which claims priority to United States provisional application No. 60/251,900, filed December 6, 2000, each of which is hereby incorporated by reference. The present application also claims priority to United States provisional application No. 60/268,560, filed February 14, 2001, which is hereby incorporated by reference.

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Please type a plus (+) inside this box - ☒

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(d))

Attorney Docket No. 009516-0050-999 Total Pages 57

First Named Inventor or Application Identifier

Hariri, Robert J.

Express Mail Label No. EL 477 034 219 US

## APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
*Submit an original, and a duplicate for fee processing)*
2. ☒ Applicant claims Small Entity status, see 37 C.F.R. § 1.27
3. ☒ Specification [Total Pages 47]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description of the Invention (including drawings, if filed)
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]
5. ☒ Oath or Declaration [Total Sheets 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 19 completed)*
  - i. ☐ DELETION OF INVENTORS(S)  
  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement ☐ Copies of IDS  
(IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i).  
Applicant must attach form PTO/SB/35 or it's equivalent
17. ☐ Other:

## 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application nos. 10/004,942, filed December 5, 2001; 60/251,900, filed December 6, 2000; and 60/268,560, February 14, 2001.

## 19. CORRESPONDENCE ADDRESS

Customer Number:



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ZIP CODE

COUNTRY

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.